

Terms of Reference

Federation of Law Societies of Canada and the Government of Canada Working Group on Money Laundering and Terrorist Financing

Mandate

The mandate of this Working Group is to explore issues related to money laundering and terrorist financing in the legal profession and to strengthen information sharing between the law societies and the Government of Canada.

Objectives

- Strengthen lines of communication between the federal government and the law societies to provide regular opportunities to discuss issues and challenges and information sharing related to ML/TF, tax evasion and other serious crimes and the legal profession
- Share information such as data, trends, typologies, indicators and case examples related to ML/TF (personal identifying information about individual lawyers or clients will not be shared by the FLSC)
- Discuss how existing systems, e.g., FLSC model rules can be leveraged to improve education, awareness and due diligence in the legal profession to deter and prevent ML/TF
- Assist the FLSC in preparing and enhancing their guidance to the legal profession related to ML/TF
- Discuss compliance and enforcement issues in the legal profession by the law societies
- Discuss on an exploratory basis appropriate practices on referrals of cases/information to inform law societies and/or law enforcement
- Discuss and understand Canada's linkages to the international community and the Financial Action Task Force concerning Canada's commitment to AML/ATF
- Discuss on an exploratory basis appropriate collaboration on efforts to deter and prevent ML/TF in the legal profession
- Support joint public-facing communications where there is effective collaboration between the Government and the law societies

Co-Chairpersons

The Working Group is to be co-chaired by the Federation of Law Societies of Canada (to be determined) and Lynn Hemmings of the Department of Finance Canada.

Membership

<u>Organization</u>	<u>Name</u>	<u>Title</u>	<u>Contact Information</u>
<u>Government of Canada Representatives</u>			
Department of Finance Canada	Lynn Hemmings	Acting Director General, Financial Systems Division	Personal Information
	Charlene Davidson	Senior Advisor, Financial Crimes Policy	Personal Information
	Gabriel Ngo	Senior Analyst, Financial Crimes Policy	Personal Information
RCMP			
FINTRAC			
Canada Revenue Agency			
Justice Canada	Cindy Shipton-Mitchell	Executive Director, Senior General Counsel	Personal Information
	Ran Yang	Counsel	Personal Information
	Paul Saint-Denis	Senior Counsel	
<u>Federation of Law Societies Representatives</u>			

Reporting

The work is intended to provide information and analysis, to encourage frank and productive discussions amongst participants. Working Group members will report findings and summaries of discussions to their respective senior officials as necessary within the federal government, each provincial and territorial law society and within the FLSC.

These reports will lead to more senior level meetings, between Annette Ryan, Associate ADM of Financial Sector Policy Branch, Finance Canada and Jonathan Herman, CEO of the FLSC to discuss objectives, progress, work planning and next steps. Such meetings shall occur at least twice a year with additional meetings as needed.

Meetings

Meetings will be held on a quarterly basis and in-person meetings will occur in Ottawa, Ont.

Communication

Communications will be conducted by a variety of methods including in-person meetings, teleconferences, and by electronic means, for example email.

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