

**List of FINTRAC Engagement Activities with Different Stakeholders  
April 1, 2017 to December 4, 2020**

*(Note: Events highlighted in yellow involved the real estate sector)*

Fiscal Year	Event Date	Requesting Organization	Reporting Entity (RE) Sector	RE, Association, Regulator, Government or Public	Topic(s)	Type of event	Location of event	FINTRAC Region/HQ
2017-2018	April 5, 2017	Toronto Police Service Organized Crime Enforcement Financial Crimes – Corporate Crime Section	N/A	Government (law enforcement)	housing markets in Toronto and Vancouver, we are interested in the speaker from FINTRAC being able to discuss the impact, if any, on foreign funds entering Canada, compliance with AML legislation and responsibilities of realtors to ensure compliance with FINTRAC.	Conference	Toronto	Toronto
2017-2018	April 10, 2017	Financial Institutions Commission of British Columbia (FICOM)	Financial entities (FE)	Regulator		meeting	Vancouver	Vancouver
2017-2018	April 13, 2017	Canadian Bankers Association (CBA)	Financial Institution	Association	STR methodology	conference	Toronto	Toronto
2017-2018	April 24, 2017	Association of Canadian Compliance Professionals (ACCP)	Securities dealers	Association	Examples and current issues, reporting and investigations	Conference	Toronto	Toronto
2017-2018	April 25, 2017	Global Currencies Services Inc.	Money services businesses (MSBs)	Association	Regulatory consultation session for MSBs	Presentation	Vancouver	Vancouver
2017-2018	April 27, 2017	Atlantic Central	Credit Union	RE	ML indicators and tax evasion threshold	webinar		Montreal
2017-2018	May 1-5, 2017	International Association of Law Enforcement Intelligence Analysts (IALEIA)	N/A	Government (law enforcement)	2017- IALEIA/LEIU Annual Training Event -- Call for Speakers/Presentations	Annual training	Minnesota	
2017-2018	May 4, 2017	Canadian Life and Health Insurance Association (CLHIA)	Securities dealers	Association	Guidelines	Presentation	Toronto	Toronto
2017-2018	May 5, 2017	Canadian Real Estate Association (CREA)	Real estate	Association	Deficiencies discussion	Meeting	Ottawa	Ottawa
2017-2018	May 8th, 2017	Association of Certified Anti-Money Laundering Specialists (ACAMS)	Multiple	RE, Association and Regulators	ACAMS Greater Toronto Chapter Event - Emerging AML Technology Risks and Trends	Presentation	Toronto	Toronto
2017-2018	May 9-10th, 2017	Canadian Institute, Business information in a Global Context	Financial entities	RE, Association and Regulators	The ongoing response to the mutual evaluation, any new updates that FINTRAC would be able to share, and real-life examples of the application of existing guidelines.	Forum	Toronto	Toronto
2017-2018	May 10, 2017	Global Currencies Services Inc.	MSB	Association	Regulatory Consultation Session for MSB'S	Presentation	Montreal	Montreal
2017-2018	May 30, 2017	Real Estate Board of Greater Vancouver (REBGV)	Real Estate	RE	Intelligence continuum /Compliance side	Presentation	Vancouver	Vancouver
2017-2018	June 2, 2017	Real Estate Board of Greater Vancouver (REBGV)	Real Estate	RE	Intelligence continuum /Compliance side	Presentation	Vancouver	Vancouver
2017-2018	June 16, 2017	Great-West Life, London Life, Canada life	Life Insurance	RE	highlight the compliance obligations and importance of Life Insurance sector	Symposium	London, Ontario	London, Ontario

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2017-2018	June 27, 2017	London and St Thomas Association of Realtors	Real Estate	Association	REAL ESTATE requirements, new amendments to legislation and a Q&A	Training Session for Brokers/brokers managers & Realtor Members	Toronto	Toronto
2017-2018	June 29, 2017	ScotiaBank	Financial Institution	RE	the Forum Intel presentation at an upcoming FIU event	forum/FIU event	Toronto	Toronto
2017-2018	August 23, 2017	Loto-Québec	Casino	RE	Agenda to follow	meeting	Montreal	Montreal
2017-2018	August 23, 2017	Canadian Real Estate Association (CREA)	Real Estate	Association	Follow up on meeting that took place in May 5th, 2017. (Deficiencies discussion)	Meeting	Ottawa	Ottawa
2017-2018	September 11-13, 2017	About Business Crime Solutions Inc. (ABC Solutions)	Multiple	RE, Association and Regulators	Plenary -Workshop-Variou subjects: topics that address regulatory program management and application issues.	Conference	Vancouver	Vancouver
2017-2018	September 20, 2017	Manitoba Credit Unions	Financial Institution	RE	new regulations	Symposium	Winnipeg	Vancouver
2017-2018	September 27, 2017	Canadian Credit Union Association (CCUA) will be hosting a free webinar on human trafficking and Project Protect	Credit Unions	Association	Human Trafficking	webinar	Ottawa	HQ/Montreal
2017-2018	October 2, 2017	Alberta Real Estate Association (AREA)	Real Estate	Association	REAL ESTATE requirements, new amendments to legislation	education Day	Calgary	Vancouver
2017-2018	October 3, 2017	Alberta Real Estate Association (AREA)	Real Estate	Association	REAL ESTATE requirements, new amendments to legislation	Education Day	Edmonton	Vancouver
2017-2018	October 3, 2017	FINTRAC	Multiple	RE, association, regulator	First meeting of the Guidance and Policy Interpretation Working Group (GPIWG) was held to discuss policy interpretations (masked account numbers, attestation method and credit file meetings). Reviewed compliance program guidance that had been shared in advance.	Meeting		HQ
2017-2018	October 18, 2017	Canadian Money Services Business Association (CMSBA)	MSB	Association	Fundamentals of a compliance program	conference	Toronto	HQ Ottawa
2017-2018	October 19, 2017	Canadian Credit Union Association (CCUA) bi annual meeting	Credit Unions	Association	Various topics	meeting	Ottawa	HQ Ottawa
2017-2018	October 27, 2017	Mutual Fund Dealers Association of Canada (MFDA)	Securities dealers	Association	Fundamentals of a compliance program	conference	Toronto	Toronto
2017-2018	October 27, 2017	Canadian Bankers Association (CBA)	Financial Entities	Association	Compliance Program Guidance - Consultation	meeting	Toronto	HQ Ottawa
2017-2018	October 31st to November 1st, 2017	Association of Certified Anti-Money Laundering Specialists (ACAMS)	Financial Institution	RE, association, regulator	Regulatory Roundtable: Reviewing Evolving Compliance Expectations, Trends and Requirements	conference	Toronto	HQ
2017-2018	November 22, 2017	Atlantic Central	Credit Unions	RE		meeting		Montreal
2017-2018	November 27, 2017	Deposit Insurance	Credit Unions	Regulator	FINTRAC Mandate and Regulator Questionnaire	meeting	Toronto	Montreal

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		Corporation of Ontario						
2017-2018	November 27, 2017	FINTRAC	Financial Institutions	RE	STR working meeting with CAMLOs	meeting	Toronto	Toronto
2017-2018	November 28, 2017	The Canadian Institute Business Information in a Global Context	Multiple	RE, association, regulator	23 <sup>rd</sup> Annual Regulatory Compliance for Financial Institutions.	Conference	Toronto	Toronto
2017-2018	December 13, 2017	FINTRAC	Multiple	RE, association, regulator	GPIWG: Consultation on reasonable grounds to suspect (RGS) guidance	meeting		HQ
2017-2018	December 19, 2017	Real Estate Council of British Columbia (RECBC)	Real Estate	Regulator	MOU discussion	meeting	Vancouver	Vancouver
2017-2018	December 20, 2017	FINTRAC/CBA	Financial Institutions	Association	Meeting on beneficial ownership/ongoing monitoring	meeting	Toronto	HQ
2017-2018	January 17, 2018	Autorité des Marchés Financiers (AMF)	Multiple	Regulator	Aperçu du secteur de la conformité	Presentation	Montreal	Montreal
2017-2018	February 5, 2018	FINTRAC	Dealers in Precious Metals and Stones (DPMS)	RE	Teleconference to review RGS guidance	teleconference		HQ
2017-2018	February 5, 2018	FINTRAC/CBA	Financial Institutions	Association	Teleconference to review RGS guidance	teleconference		HQ
2017-2018	February 6, 2018	FINTRAC	Multiple	RE, association, regulator	GPIWG - 2 <sup>nd</sup> meeting to review RGS guidance	Meeting		HQ
2017-2018	February 8, 2018	Nova Scotia Association of Realtors	Real Estate	Association	Business relationship/ ongoing monitoring and risk assessment	forum	Nova Scotia	Montreal
2017-2018	February 14, 2018	Registered Deposit Brokers Association	Financial entities	Association	Client ID discussion	conference call	HQ	HQ
2017-2018	February 14, 2018	Seneca College	University	n/a	FINTRAC mandate, Compliance for Intelligence, STRs, AML Careers	Presentation	Toronto	Toronto
2017-2018	February 28, 2018	FINTRAC	Government	n/a	Teleconference with BC provincial gov't regarding beneficial ownership legislation in the province.	teleconference		HQ
2017-2018	March 7, 2018	Canadian Bankers Association (CBA)	Financial Entities	Association	Beneficial Ownership Meeting with FINTRAC, FINANCE & OSFI	Meeting	Toronto	Toronto
2017-2018	March 8, 2018	FINTRAC	Multiple	RE, association, regulator	GPIWG - Indicators project discussion	Meeting	Toronto	HQ
2017-2018	March 23, 2018	FINTRAC	Multiple	RE, association, regulator	GPIWG - review FINTRAC core Indicators project	Meeting		HQ

Total events for 2017-18: 48 (including 14 events involving the real estate sector) – information on the other 46 events were not kept in a tracker and cannot be retrieved. A more comprehensive tracker was only implemented starting in fiscal year 2018-19.

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2018-2019	April 4, 2018	Promontory Financial Group Canada	Multiple	N/A	Talk about how company can assist with compliance related matters and find if there are areas of mutual interest	Meeting	Ottawa	HQ
2018-2019	April 5, 2018	Society of Notaries Public of BC	B.C. Notaries	Regulator, RE	Training session re: BC notary overview/conveyancing	Training	Vancouver	Vancouver
2018-2019	April 11, 2018	Alberta Central Credit Union	Credit unions	RE	Alberta Central's Regulatory Compliance Network	Training	Red Deer	Vancouver
2018-2019	April 11, 2018	FICOM	Multiple	Regulator	Biannual meeting (as per MOU) - Provided information package	Meeting	Vancouver	Vancouver
2018-2019	April 12, 2018	FINTRAC	Multiple	RE	Project Chameleon conference call to discuss objectives of the Project CHAMELEON	Teleconference	N/A	HQ
2018-2019	April 12, 2018	Renfrew County Real Estate Board	Real estate	RE	New obligations/STR/exam results/stats	Presentation	Renfrew	Montreal
2018-2019	April 17, 2018	FINTRAC	MSB	RE	Meeting re: how to improve the quality of STR G sections	Meeting	Ottawa	HQ
2018-2019	April 17, 2018	Financial Advisors Association of Canada (Advocis)	FE	Association	Meet & greet	Meeting	Ottawa	HQ
2018-2019	April 18, 2018	Canadian Real Estate Association (CREA)	Real estate	Association	Meet & greet	Meeting	Ottawa	HQ
2018-2019	April 23, 2018	FINTRAC	Credit unions	RE	Teleconference with credit unions on STR Indicators	Teleconference	N/A	HQ
2018-2019	April 24, 2018	FINTRAC	DPMS	RE	Teleconference with DPMS sector on STR Indicators	Teleconference	N/A	HQ
2018-2019	April 25, 2018	FINTRAC	Securities dealers	RE	Teleconference with securities sector on STR Indicators	Teleconference	N/A	HQ
2018-2019	April 25, 2018	Bank of Montreal	FE	RE	Block chain session	Meeting	Ottawa	HQ
2018-2019	April 26, 2018	FINTRAC	FE	Association	Teleconference with CBA on STR Indicators	Teleconference	N/A	HQ
2018-2019	April 26, 2018	Toronto Real Estate Board	Real estate	RE	Focus on the Compliance Officers' duties	Training	Toronto	Montreal
2018-2019	April 27, 2018	FINTRAC	Real estate	Association	Teleconference with CREA on STR Indicators	Teleconference	N/A	HQ
2018-2019	April 30, 2018	FINTRAC	MSB	RE	Teleconference with MSBs on STR Indicators	Teleconference	N/A	HQ
2018-2019	May 1, 2018	FINTRAC	FE	RE	Project Guardian conference call (monthly status update)	Teleconference	N/A	HQ
2018-2019	May 2-3, 2018	Canadian Life and Health Insurance Association (CLHIA)	Life insurance	Association	New regs coming in to force in package 2	Panel	Calgary	HQ
2018-2019	May 7, 2018	FINTRAC	Multiple	RE, association and regulator	GPIWG meeting re: STR reporting guidance Agenda: 1. Presented STR reporting	Teleconference	N/A	HQ

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					guidance (gave them until May 25 to provide their comments to us) 2. New position and guidance on beneficial ownership 3. Draft policy interpretation re: ongoing monitoring 4. Update on status of indicators consultation by sector			
2018-2019	May 8, 2018	ACAMS Toronto chapter meeting	Multiple	RE, association and regulator	Emerging AML technology risks and trends. No presentation required - Just bullet points.	Meeting	Toronto	HQ
2018-2019	May 9&10, 2018	Canadian Institute	Multiple	RE, association and regulator	Canadian Institute AML Compliance & Financial Crime Conference  Spoke to: .Re-organized guidance & interpretation requirements .Expectations from FINTRAC .Compliance and examination preparedness	Conference	Toronto	HQ
2018-2019	May 14, 2018	FINTRAC	Life insurance	Association	Teleconference with CHLIA on STR indicators	Teleconference	N/A	HQ
2018-2019	May 15, 2018	National Bank of Canada	FE	RE	Relationship with NBC & updates on FINTRAC's upcoming initiatives (AMP program, Compliance Assessment Manual)	Meeting	Montreal	HQ/Montreal
2018-2019	May 15, 2018	Autorité des Marchés Financiers (AMF)	MSB	Regulator	Engagement & outreach	Meeting	Montreal	HQ/Montreal
2018-2019	May 15, 2018	Fédération des caisses Desjardins du Québec	Credit unions	RE	Relationship with the Federation & updates on FINTRAC's upcoming initiatives (AMP program, Compliance Assessment Manual)	Meeting	Montreal	HQ/Montreal
2018-2019	May 16, 2018	FINTRAC	Casinos	RE	Teleconference with the casino sector. Pre-consultation meeting on STR indicators draft guidance. To meet with the sector again in June to discuss the guidance and their feedback/comments.	Teleconference	N/A	HQ
2018-2019	May 16, 2018	Niagara Association of REALTORS	Real estate	Association	FINTRAC's obligations	Presentation	Niagara	Montreal
2018-2019	May 31, 2018	FICOM	Multiple	Regulator	Exchange of compliance information between FICOM and FINTRAC as per MOU	Teleconference	N/A - Teleconference	Vancouver
2018-2019	May 31, 2018	Conseil des professionnels en services financiers	Multiple	Association	Le risque du BA & FAT et la planification financière	Training	Montreal	Montreal
2018-2019	June 4, 2018	Office of the Superintendent of Financial Institutions (OSFI)	FE	Regulator	Relationship including joint assessments.	Meeting	Toronto	HQ/Toronto

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2018-2019	June 4, 2018	Ontario Securities Commission (OSC)	Securities dealers	Regulator	Relationship between OSC & FINTRAC	Meeting	Toronto	HQ/Toronto
2018-2019	June 4, 2018	Alcohol and Gaming Commission of Ontario (AGCO)	Casinos	Regulator	Relationship between AGCO & FINTRAC	Meeting	Toronto	HQ/Toronto
2018-2019	June 4, 2018	Investment Industry Regulatory Organization of Canada (IIROC)	Securities dealers	Regulator	Relationship between IIROC & FINTRAC	Meeting	Toronto	HQ/Toronto
2018-2019	June 4, 2018	FINTRAC	FE	RE	Conference call on public-private project	Teleconference	Toronto	HQ
2018-2019	June 5, 2018	Canadian Real Estate Association (CREA)	Real estate	Association	Discussion on deficiencies	Meeting	Ottawa	HQ
2018-2019	June 7, 2018	Financial Technologies Forum	Multiple	RE, association, regulator	SecOps North America 2018 - Carrie participated in a panel (with speaking notes from the referenced presentation).	Conference	Toronto	HQ
2018-2019	June 11, 2018	FINTRAC	FE	Association	Conference call with CBA re: money Laundering SG	Teleconference	N/A	HQ
2018-2019	June 11, 2018	FINTRAC	Casinos	RE	Teleconference with Casinos on STR Indicators	Teleconference	N/A	HQ
2018-2019	June 11, 2018	Real Estate Council of Ontario (RECO)	Real estate	Regulator	Teleconference re: FINTRAC Training for RECO registrants	Teleconference	N/A	HQ/Montreal
2018-2019	June 13, 2018	FINTRAC	Multiple	RE, association, regulator	GPIWG meeting Agenda: 1. Updates to GPIWG membership 2. F2R update 3. STR guidance trilogy review by GPIWG – update on indicator consultations and next steps 4. Beneficial ownership guidance and policy interpretation on ongoing monitoring	Teleconference	N/A	HQ
2018-2019	June 19, 2018	Gaming Policy and Enforcement Branch	Casinos	Regulator		Meeting	Vancouver	Vancouver
2018-2019	June 20, 2018	Canadian Gaming Business	Casinos	RE, Association, regulator	Panel at the Canadian Gaming Summit 2018 re: AML.	Conference	Niagara	HQ
2018-2019	June 21, 2018	Ontario Securities Commission (OSC)	Securities dealers	Regulator	Follow-up meeting to discuss further collaboration between OSC & FINTRAC	Meeting	Toronto	HQ/Toronto
2018-2019	June 21, 2018	IIROC	Securities dealers	Regulator	Follow-up meeting to the Director's visit on the week of June 4, 2018	Meeting	Toronto	HQ/Toronto
2018-2019	June 21, 2018	Investment Industry Association of Canada (IIAC)	Securities dealers	Association	IIAC - AML Committee meeting with FINTRAC re: stat deficiencies	Meeting	Toronto	HQ/Toronto
2018-2019	June 26, 2018	FINTRAC	Real estate	RE	1st round consultations with real estate sector re: pkg 2. Review of side by side and policy intent re: pkg 2 with real estate sector in Ottawa	Meeting	Ottawa	HQ

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2018-2019	June 26, 2018	FINTRAC	MSB	RE	1st round consultations with VC dealers re: pkg 2. Review of side by side and policy intent re: pkg 2 with VC dealers in Ottawa.	Meeting	Ottawa	HQ
2018-2019	June 27, 2018	FINTRAC	MSB	RE	1st round consultations with Paypal re: pkg 2. Review of side by side and policy intent re: pkg 2 with Paypal in Toronto.	Meeting	Toronto	HQ
2018-2019	June 27, 2018	FINTRAC	Credit union	Association	1st round consultations with CCUA re: pkg 2. Review of side by side and policy intent re: pkg 2 with CCUA in Toronto.	Meeting	Toronto	HQ
2018-2019	June 27, 2018	FINTRAC	FE	RE	1st round consultations with Small Bank Forum re: pkg 2. Review of side by side and policy intent re: pkg 2 with Small Bank Forum in Toronto.	Meeting	Toronto	HQ
2018-2019	June 27, 2018	FINTRAC	FE	Association	1st round consultations with CBA re: pkg 2. Review of side by side and policy intent re: pkg 2 with CBA in Toronto.	Meeting	Toronto	HQ
2018-2019	June 27, 2018	Gaming Policy and Enforcement Branch	Casinos	Regulator	Overview of Fintrac's Role e.g. the 5 w's about how Fintrac operates and how to use its information	Training	Vancouver	Vancouver
2018-2019	June 28, 2018	FINTRAC	Accountants	Association	1st round consultations with CPA re: pkg 2. Review of side by side and policy intent re: pkg 2 with CPA in Toronto.	Meeting	Toronto	HQ
2018-2019	June 28, 2018	FINTRAC	Life insurance	RE	1st round consultations with Life insurance sector AML working group re: pkg 2. Review of side by side and policy intent re: pkg 2 with Life insurance sector AML working group in Toronto.	Meeting	Toronto	HQ
2018-2019	June 28, 2018	FINTRAC	Securities dealers	RE	1st round consultations with securities sector re: pkg 2. Review of side by side and policy intent re: pkg 2 with securities sector (hosted by IIAC) in Toronto.	Meeting	Toronto	HQ
2018-2019	June 28, 2018	FINTRAC	MSB	RE	1st round consultations with VC dealers re: pkg 2. Review of side by side and policy intent re: pkg 2 with VC dealers in Toronto.	Meeting	Toronto	HQ
2018-2019	June 28, 2018	Canadian Real Estate Association (CREA)	Real estate	Association	Follow up on meeting held June 5th, 2018	Meeting	Ottawa	HQ
2018-2019	June 29, 2018	FINTRAC	Real estate	RE	1st round consultations with Toronto Real Estate Board (TREB) re: pkg 2. Review of side by side and policy intent re: pkg 2 with TREB in Toronto.	Meeting	Toronto	HQ
2018-2019	June 29, 2018	FINTRAC	MSB	RE	1st round consultations with MSBs re: pkg 2. Review of side by side and policy intent re: pkg 2 with MSBs (at Grant Thornton) in Toronto.	Meeting	Toronto	HQ
2018-2019	June 29, 2018	FINTRAC	DPMS	RE, association	1st round consultations with DPMS/Canadian Jewellers Association (CJA) re: pkg 2. Review of side by side and policy intent re: pkg 2 with DPMS/CJA in Toronto.	Meeting	Toronto	HQ

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2018-2019	June 29, 2018	FINTRAC	Casinos	RE	1st round consultations with casino sector re: pkg 2. Review of side by side and policy intent re: pkg 2 with casino sector (at OLG) in Toronto.	Meeting	Toronto	HQ
2018-2019	July 5, 2018	Canadian Credit Union Association (CCUA)	Credit union	Association	Stats on deficiencies/new AMP regime	Meeting	Ottawa	HQ
2018-2019	July 6, 2018	BCLC	Casinos	RE		Meeting	Vancouver	Vancouver
2018-2019	July 9, 2018	Real Estate Council of Ontario	Real Estate	Regulator	Teleconference re: RECO FINTRAC MCE course collaboration	Teleconference	N/A	HQ
2018-2019	July 10, 2018	Canadian Real Estate Association (CREA)	Real estate	Association	Meeting with CREA's Federal Advisory Committee	Meeting	Ottawa	HQ
2018-2019	July 18, 2018	RBC	FE	RE		Meeting	Ottawa	HQ
2018-2019	July 23, 2018	FINTRAC	FE	RE	2nd round consultations with Small Bank Forum re: pkg 2. Review concept notes with Small Bank Forum re: pkg 2 in Toronto.	Meeting	Toronto	HQ
2018-2019	July 24, 2018	FINTRAC	FE	Association	2nd round consultations with CBA re: pkg 2. Review concept notes with CBA re: pkg 2 in Toronto.	Meeting	Toronto	HQ
2018-2019	July 25, 2018	FINTRAC	MSB	RE	2nd round consultations with MSBs re: pkg 2. Review concept notes with MSBs re: pkg 2 in Toronto.	Meeting	Toronto	HQ
2018-2019	July 25, 2018	FINTRAC	MSB	RE	2nd round consultations with MSBs - VC re: pkg 2. Review concept notes with MSBs - VC re: pkg 2 in Toronto.	Meeting	Toronto	HQ
2018-2019	July 26, 2018	FINTRAC	Life insurance	RE	2nd round consultations with life insurance sector re: pkg 2. Review concept notes with life insurance sector re: pkg 2 in Toronto.	Meeting	Toronto	HQ
2018-2019	July 26, 2018	FINTRAC	Securities dealers	RE	2nd round consultations with securities dealers re: pkg 2. Review concept notes with securities dealers re: pkg 2 in Toronto.	Meeting	Toronto	HQ
2018-2019	July 27, 2018	FINTRAC	Accountants	RE	2nd round consultations with accountants re: pkg 2. Review concept notes with accountants re: pkg 2 in Toronto.	Meeting	Toronto	HQ
2018-2019	July 27, 2018	FINTRAC	DPMS	RE	2nd round consultations with real estate and DPMS re: pkg 2. Review concept notes with real estate and DPMS re: pkg 2 in Toronto.	Meeting	Toronto	HQ
2018-2019	July 30, 2018	FINTRAC	FE	RE	2nd round consultations with Desjardins re: pkg 2. Review concept notes with Desjardins re: pkg 2 in Montreal.	Meeting	Montreal	HQ
2018-2019	July 30, 2018	FINTRAC	MSB	RE	2nd round consultations with MSBs - VC re: pkg 2. Review concept notes with MSBs - VC re: pkg 2 in Montreal.	Meeting	Montreal	HQ
2018-2019	July 31, 2018	FINTRAC	Real estate	RE	2nd round consultations with real estate re: pkg 2. Review concept notes with real estate re: pkg 2 in Montreal.	Meeting	Montreal	HQ



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2018-2019	August 1, 2018	FINTRAC	MSB	RE	2nd round consultations with MSBs - VC re: pkg 2. Review concept notes with MSBs - VC re: pkg 2 in Vancouver.	Meeting	Montreal	HQ
2018-2019	August 1, 2018	FINTRAC	Real estate	RE	2nd round consultations with real estate re: pkg 2. Review concept notes with real estate re: pkg 2 in Montreal.	Meeting	Montreal	HQ
2018-2019	August 2, 2018	FINTRAC	FE	RE	2nd round consultations with credit unions re: pkg 2. Review concept notes with credit unions re: pkg 2 in Vancouver.	Meeting	Vancouver	HQ
2018-2019	August 3, 2018	FINTRAC	Casinos	RE	2nd round consultations with casinos re: pkg 2. Review concept notes with casinos re: pkg 2 in Vancouver.	Meeting	Vancouver	HQ
2018-2019	August 3, 2018	FINTRAC	B.C. Notaries	RE	2nd round consultations with B.C. notaries re: pkg 2. Review concept notes with B.C. notaries re: pkg 2 in Vancouver.	Meeting	Vancouver	HQ
2018-2019	August 14, 2018	FINTRAC	DPMS	RE	Meeting with Canada Post re: package 2	Meeting	Ottawa	HQ
2018-2019	August 27, 2018	FINTRAC	MSB	RE	3rd round consultations with VC dealers re: pkg 2. Consult agenda set by VC dealers.	Teleconference	Ottawa	HQ
2018-2019	August 28, 2018	FINTRAC	FE	Association	3rd round consultations with CBA re: pkg 2 - Part 1. Consult agenda set by CBA.	Meeting	Ottawa	HQ
2018-2019	August 29, 2018	FINTRAC	FE	Association	3rd round consultations with CBA re: pkg 2 - Part 2. Consult agenda set by CBA.	Meeting	Ottawa	HQ
2018-2019	August 29, 2018	FINTRAC	FE	RE	3rd round consultations with credit unions re: pkg 2. Consult agenda set by credit unions.	Teleconference	Ottawa	HQ
2018-2019	August 30, 2018	FINTRAC	FE	RE	3rd round consultations with credit card banks re: pkg 2. Consult agenda set by credit card banks.	Teleconference	Ottawa	HQ
2018-2019	September 11, 2018	FICOM of BC	Multiple	Regulator	This purpose of the meeting was not only to be an introductory meeting but to stress the importance of the relationship between the organizations.	Meeting	Vancouver	HQ/Vancouver
2018-2019	September 11, 2018	RECBC	Real estate	Regulator	Finalizing MOU, upcoming compliance assessments in this sector, Enhanced Transparency Initiative & revised AMPs policy	Meeting	Vancouver	HQ/Vancouver
2018-2019	September 11, 2018	HSBC Bank Canada	FE	RE	Relationship (Project CHAMELEON, CBA contributions, etc.), Enhanced Transparency Initiative & revised AMPs policy	Meeting	Vancouver	HQ/Vancouver
2018-2019	September 18&19, 2018	ABC Solutions	Multiple	RE, Association, regulator	Plenary re: an overview of the proposed amendments to the PCMLTFA Regs (ABC Solutions Conference)	Plenary		HQ
2018-2019	September 19, 2018	British Columbia Real Estate Association (BCREA)	Real estate	Association	Deficiencies discussion/stats	Presentation	Richmond	Vancouver

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2018-2019	September 20, 2018	Canadian Credit Union Association (CCUA)	Credit union	Association	Webinar/stats/deficiencies	Webinar	Montreal	Montreal
2018-2019	September 26, 2018	Central 1	Credit union	RE	Business relationship/ongoing monitoring/risk assessments	Training	Mississauga	Montreal
2018-2019	October 2, 2018	FICOM	Multiple	Regulator	Topics of discussion: - FICOM and its potential reorganization to Crown Corporation	Meeting	Vancouver	Vancouver
2018-2019	October 3, 2018	FINTRAC	Multiple	RE, association, regulator	GPIWG meeting Agenda: 1. Updates to GPIWG membership 2. Proposed regulation update and summer consultation 3. F2R update 4. STR guidance trilogy update	Teleconference	N/A	HQ
2018-2019	October 3, 2018	Credit Union Central of Manitoba	Credit union	RE	AML symposium: Compliance exam methodologies/exam results	Presentation	Manitoba	Vancouver
2018-2019	October 3, 2018	Credit Union Central of Manitoba	Credit union	RE	Central 1 - 2018 AML Roadshow re: Business relationship/ongoing monitoring/ Risk assessments	Training	Kelowna	Vancouver
2018-2019	October 4, 2018	Deposit Guarantee Corporation of Manitoba (DGCM)	Credit union	Regulator	Went over information package and reporting statistics including: -advised of new Director -public private partnerships -explained assessment approach (and our guiding principles- materiality , reasonability, etc) -manual and amp upcoming publications -advised of presentation delivered to Manitoba Central and its members -explained AMPs	Meeting	Winnipeg	Vancouver
2018-2019	October 4, 2018	Okanagan Mainline Real Estate Board	Real estate	RE	Stats	Training	Kelowna	Vancouver
2018-2019	October 4, 2018	British Columbia Real Estate Association (BCREA)	Real estate	Association	Meet/greet re: real estate gaps in exams	Meeting	Ottawa	HQ
2018-2019	October 10, 2018	Credit Union Deposit Guarantee Corporation (CUDGC)	Credit union	Regulator	Met to present recent Saskatchewan CU exam statistics	Meeting	Regina	Vancouver
2018-2019	October 11, 2018	SaskCentral	Credit union	RE	Credit Union Risk Control Seminar: -Compliance exam methodologies -Compliance examination results and reporting statistics -Suspicious transaction reporting -Quality reporting: actionable intelligence	Seminar	Saskatoon	Vancouver

**List of FINTRAC Engagement Activities with Different Stakeholders  
April 1, 2017 to December 4, 2020**

*(Note: Events highlighted in yellow involved the real estate sector)*

Fiscal Year	Event Date	Requesting Organization	Reporting Entity (RE) Sector	RE, Association, Regulator, Government or Public	Topic(s)	Type of event	Location of event	FINTRAC Region/HQ
2018-2019	October 16, 2018	FICOM	FE	Regulator	Presentation (summary) of CU examination stats for 2017	Presentation	Vancouver	Vancouver
2018-2019	October 23, 2018	Real Estate Council of B.C.	Real estate	Regulator	MOU discussion between RECBC & FINTRAC	Meeting	N/A - Teleconference	HQ
2018-2019	October 30, 2018	FINTRAC	Multiple	RE, regulator, government	Major Reporters Forum where the big 8 financial entities and other FINTRAC partners.  Significant discussions on issues like Compliance Framework, Assessment Manual, AMPs, PEPs Guidance, transaction monitoring for correspondent banking.	Forum	Toronto	HQ
2018-2019	October 31, 2018	ACAMS Conference (Toronto)	Multiple	RE, association, regulator	Presentation on legislative amendments proposed by Department of FINANCE and provide reporting entities with the FINTRAC perspective on some of these changes.	Conference	Toronto	Toronto
2018-2019	October 31, 2018	ACAMS Conference (Toronto)	Multiple	Association	o Understanding the evolution of FINTRAC's Examinations and Administrative Monetary Penalties Programs, including: -The assessment approach and methods FINTRAC uses during its examinations -Update on FINTRAC's new approach for penalty calculations o Developing strategies to prepare for examinations including conducting process walkthroughs and performing detailed, sample-based effectiveness testing	Conference	Toronto	HQ
2018-2019	November 7, 2018	Canadian MSB Association (CMSBA)	MSB	RE, Association	New regulatory changes	Conference	Toronto	Toronto
2018-2019	November 14, 2018	Domestic Bank Executive Committee	FE	Association	Overview of the Director and CEO's priorities – collective results for Canadians, increasing transparency and addressing burden	Meeting	Toronto	HQ/Toronto
2018-2019	November 15, 2018	IIROC's Ontario District Council (ODC)	Securities dealers	Regulator	Proposed changes to Canada's AML/ATF regime	Presentation	Toronto	Toronto
2018-2019	November 16, 2018	Autorité des marchés financiers (AMF)	FE	Regulator	Potential information exchanges (entity lists) and the possibility of joint exams.	Meeting	Montreal	Montreal

**List of FINTRAC Engagement Activities with Different Stakeholders  
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Fiscal Year	Event Date	Requesting Organization	Reporting Entity (RE) Sector	RE, Association, Regulator, Government or Public	Topic(s)	Type of event	Location of event	FINTRAC Region/HQ
2018-2019	November 19, 2018	FINTRAC	Multiple	RE, association, regulator	GPIWVG Meeting Agenda: · Review of the CDR and LCTR reporting guidance (see attached) · Roundtable	Teleconference	N/A	HQ
2018-2019	November 21, 2018	Desjardins	Credit Union	RE	Operational discussion	Meeting	N/A - Videoconference	HQ/Montreal
2018-2019	November 21 & 22, 2018	Toronto Real Estate Board	Real estate	RE	CANAFE 101 - TREB including luncheon presentation (Nov 21) & two other presentations (10:00am & 1:00pm on Nov 22)	Presentation	Toronto	Montreal
2018-2019	November 26, 2018	BCLC	Casinos	RE		Meeting	Vancouver	Vancouver
2018-2019	November 27, 2018	Gaming Policy and Enforcement Branch (GPEB)	Casinos	Regulator		Meeting	Vancouver	Vancouver
2018-2019	November 27, 2018	IIROC National Advisory Committee	Securities dealers	Regulator	Proposed package 2 amendments	Presentation	Montreal	HQ
2018-2019	November 27, 2018	Canadian Institute	Multiple	RE, association, regulator	Legislative amendments proposed by Department of FINANCE	Conference	Toronto	Toronto
2018-2019	November 28, 2018	Organisme d'autorégulation du courtage immobilier du Québec (OACIQ)	Real estate	Regulator	CANAFE 101	Meeting	Montreal	Montreal
2018-2019	November 28, 2018	Canadian Prepaid Providers Organization	FE	Association	Met to discuss: -Their operational business processes -Proposed package 2 changes re: prepaid cards	Meeting	Toronto	HQ

Total of events in 2018-19: 135 (including 25 involving the real estate sector)

**List of FINTRAC Engagement Activities with Different Stakeholders  
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Fiscal Year	Event Date	Requesting Organization	Reporting Entity (RE) Sector	RE, Association, Regulator, Government or Public	Topic(s)	Type of event	Location of event	FINTRAC Region/HQ
2019-2020	April,1 2019	Nova Scotia Credit Union Deposit Insurance Corporation (NSCUDIC)	Financial Entities	Regulator	Meeting as part of MOU relationship	Meeting	Nova Scotia	Montreal
2019-2020	April 2, 2019	Atlantic Central	Financial Entities	RE	intro to their new shared services project / intro to the new compliance team / discussion on recent Pls	Meeting	Nova Scotia	Montreal
2019-2020	April 3, 2019	RCMP	N/A	Government	To discuss NCD process	Meeting	Ottawa	HQ
2019-2020	April 3, 2019	Department of Finance Canada	Financial Entities	Association, RE	Sworn in session for CBA and members on draft regs	Meeting	Ottawa	HQ
2019-2020	April 3, 2019	FINTRAC	Financial Entities	Public-Private	ITFWG meeting and PPP presentation	Meeting	Ottawa	HQ
2019-2020	April 4, 2019	FINTRAC	Financial Entities	Public-Private	ITFWG meeting at CSIS	Meeting	Ottawa	HQ
2019-2020	April 4, 2019	Department of Finance Canada	Multiple	RE, Regulator, Government	Sworn in session for ACMLTF on draft regs	Meeting	Ottawa	HQ
2019-2020	April 4, 2019	BC Northern Real Estate Board	Real estate	RE	To speak on obligations for real estate sector	Presentation	Prince George, BC	Vancouver
2019-2020	April 11, 2019	FINTRAC & OSFI	Multiple	RE, association, regulator	GPIWG meeting (F2R project and GPP)	Teleconference	Ottawa	HQ
2019-2020	April 23, 2019	FINTRAC	Securities	Regulator	Sent revised and updated version of the IIROC-FINTRAC MOU	Correspondence	Toronto	HQ
2019-2020	April 24, 2019	Peter German & Assocs. Inc.	N/A	Private firm	Continuing Legal Education course on Money Laundering Law	Training	Vancouver	Vancouver
2019-2020	April 30, 2019	FINTRAC	Securities	Regulator	Teleconference with OSC to discuss draft MOU	Teleconference	Toronto	HQ
2019-2020	April 30, 2019	RCMP - Ottawa	N/A	Government	Compliance/NCD presentation to RCMP - Ottawa	Meeting	Ottawa	HQ
2019-2020	April 30, 2019	Conseil des fonds d'investissements du Québec (CFIQ)	Securities	RE	Panel discussion on AML compliance	Conference	Montreal	HQ/Montreal
2019-2020	May 3, 2019	Deposit Guarantee Corporation of Manitoba (DGCM)	Financial Entities	Regulator	Outreach as part of MOU relationship: review reporting statistics, examination for upcoming fiscal, the new assessment approach, the new publication including compliance manual and STR guidance	Presentation	Winnipeg	Vancouver
2019-2020	May 3, 2019	Canadian MSB Association (CMSBA)	MSBs	RE, Association	Canadian MSB Association Spring Training Event	Training	Vancouver	Vancouver
2019-2020	May 6, 2019	CCUA	Financial Entities	RE, Association	Assessment approach for Credit Unions	Presentation	Winnipeg	Montreal
2019-2020	May 6, 2019	FINTRAC	N/A	Government	Compliance presentations to RCMP, SQ, SPVM, CBSA	Meeting	Montreal	HQ
2019-2020	May 6, 2019	FINTRAC	Casinos	Regulator	GPEB Meeting	Meeting	Vancouver	Vancouver
2019-2020	May 6-10, 2019	FINTRAC	Multiple	Public-Private	FATF Private Sector Consultative Forum	Meeting	Vienna	HQ
2019-2020	May 7-8, 2019	Canadian Institute	Multiple	RE, Association, Regulator	Guillaume to speak at Canadian Institute Anti-Money Laundering and Financial Crime Conference	Conference	Toronto	HQ
2019-2020	May 9, 2019	CLHIA	Life insurance	RE, Association	Guillaume to speak at the CLHIA Compliance Conference	Conference	Niagara Falls	HQ
2019-2020	May 10, 2019	Department of Finance Canada	Real estate	Government	GoC- BC Ad Hoc Working Group on Real Estate in Victoria, BC	Meeting	Vancouver	HQ/Vancouver

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Fiscal Year	Event Date	Requesting Organization	Reporting Entity (RE) Sector	RE, Association, Regulator, Government or Public	Topic(s)	Type of event	Location of event	FINTRAC Region/HQ
2019-2020	May 10, 2019	Canadian MSB Association (CMSBA)	MSBs	RE, Association	Canadian MSB Association Spring Training Event	Training	Montreal	Montreal
2019-2020	May 14, 2019	RCMP Ottawa	N/A	Government	Compliance and NCD presentation to RCMP Ottawa	Meeting	Ottawa	HQ
2019-2020	May 14, 2019	FINTRAC	Multiple	RE	FINTRAC Reporting Working Group (FRWG) meeting	Teleconference	Ottawa	HQ
2019-2020	May 16, 2019	FINTRAC	Financial Entities	Regulator	Financial Institutions Commission (FICOM) - Meeting	Meeting	Vancouver	Vancouver
2019-2020	May 16, 2019	FINTRAC	N/A	Government	OSFI training - Correspondent Banking methodology	Training	Toronto	HQ/Toronto
2019-2020	May 21, 2019	FINTRAC	Financial Entities	Association, RE	F2R project meeting with CBA and members	Meeting		HQ
2019-2020	May 22-24, 2019	FINTRAC	Financial Entities	RE, Regulator, Government	2019 Wolfsberg Group Forum - Director presented and Compliance attended	Conference	Switzerland	HQ
2019-2020	May 23, 2019		Financial Entities	Public-Private	Project Chameleon call	Teleconference		HQ
2019-2020	May 24, 2019		Financial Entities	Public-Private	Project Guardian call	Teleconference		HQ
2019-2020	May 24, 2019	Canadian MSB Association (CMSBA)	MSBs	RE, Association	Canadian MSB Association Spring Training Event	Training	Toronto	Toronto
2019-2020	May 24, 2019	RECBC	Real estate	Regulator	FINTRAC requested presentation in light of new MOU that was signed	Presentation	Vancouver	Vancouver
2019-2020	May 27, 2019	FINTRAC	N/A	Government	TPR presentation to RCMP, CSIS and GAC	Meeting	Ottawa	HQ
2019-2020	May 29, 2019	International Supervisory Forum (ISF)	N/A	Government	Meeting of the ISF involving representatives of Canada, US, UK, Australia and New Zealand	Teleconference	All five countries	HQ
2019-2020	May 29, 2019	HSBC	Financial Entities	RE	Current reporting trends from HSBC, indicating a large variation in their STRs last fiscal	Meeting	Vancouver	HQ
2019-2020	June 6, 2019	CBA	Financial Entities	Association	Meeting between CBA President and FINTRAC's Director	Meeting	Ottawa	HQ
2019-2020	June 6-7, 2019	Real Estate Regulators of Canada	Real estate	Regulator	2019 Conference of Real Estate Regulators of Canada	Conference	Vancouver	Vancouver
2019-2020	June 11, 2019		Financial Entities	Public-Private	Project Protect	Presentation	Barrie	HQ
2019-2020	June 17, 2019	FINTRAC	Financial entities	RE	Operational meeting	Meeting	Toronto	Toronto
2019-2020	June 18, 2019	Oakville Milton & District Real Estate Board	Real estate	RE	Presentation to Milton and District Real Estate Board	Presentation	Milton	Montreal
2019-2020	June 18, 2019	IIROC	Securities	Regulator	Provided comments and suggestions on IIROC's draft AML Guidance for their securities dealers	Correspondence	Ottawa	HQ
2019-2020	June 19, 2019	FINTRAC	Real Estate	Regulator	Meeting with RECBC to further discuss the MOU (how often we are to meet, etc) and to provide some compliance findings of examinations conducted to date this fiscal. We will also provide another recap on our examination process and an overview of the requirements.	Meeting	Vancouver	Vancouver
2019-2020	June 19-20, 2019	Canadian Gaming Summit	Casinos	RE, Regulator	Presentation and panel participation	Conference	Edmonton	Vancouver; HQ
2019-2020	June 20, 2019	OACIQ	Real estate	Regulator	Operational meeting	Meeting	Montreal	Montreal

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2019-2020	June 20, 2019	DGCM	Financial Entities	Regulator	Discussions on compliance questionnaires.	Teleconference	Vancouver	Vancouver
2019-2020	June 21, 2019	KPMG	Multiple	RE, Regulator, Government	AML Roundtable bringing together reps from banks, casinos, regulators and law enforcement	Meeting	Montreal	Montreal
2019-2020	June 21, 2019		Financial Entities	Public-Private	Project Guardian	Meeting	Toronto	HQ
2019-2020	June 21, 2019	Integrated Terrorism Assessment Centre (ITAC)	N/A	Government	Explain the various FINTRAC functions to members of ITAC	Meeting	Ottawa	HQ
	June 24-28, 2019	Office of the Comptroller of the Currency (OCC)	Financial Entities	RE, Regulator, Government	Joint presentation with OSFI on Canada's banking structure and AML/ATF activities at the OCC Anti Money Laundering/Terrorist Financing School	Training	Washington	Toronto, Montreal and Vancouver
2019-2020	June 25, 2019	BCLC	Casinos	RE	Portfolio relationship management – meeting to discuss ongoing compliance observations, strategies and trends.	Meeting	Vancouver	Vancouver
2019-2020	June 26, 2019		Financial Entities	Public-Private	Project Chameleon	Meeting	Toronto	HQ
2019-2020	June 27, 2019	CRA	N/A	Government	Training session for CRA Toronto Region on PCMLTFA obligations for MSBs and banks, as well as FINTRAC's compliance and enforcement approach	Training	Toronto	Toronto
2019-2020	July 3, 2019	FINTRAC & OSFI	Multiple	Association, RE, Regulator	GPIWG – present client ID guidance and discuss FINTRAC/OSFI plans to engage in outreach in Nov/Dec 2019	Meeting	Toronto	HQ
2019-2020	July 12, 2019	Manitoba Securities Commission	Securities	Regulator	Meeting to build relationship	Teleconference	Winnipeg	Vancouver
2019-2020	July 17, 2019	RCMP (Montreal)	N/A	Government	Operational meeting	Meeting	Montreal	HQ
2019-2020	July 25, 2019	CREA	Real Estate	Association	Meeting with CREA	Meeting	Ottawa	HQ
2019-2020	July 30, 2019	Western Union	MSBs	RE	Meeting to learn about WU operations in advance of upcoming exam	Meeting	Denver, Colorado	HQ
2019-2020	August 1, 2019	GPEB	Casinos	Regulator	Operational meeting	Teleconference	Vancouver	HQ/Vancouver
2019-2020	August 7, 2019	CREA	Real Estate	Association	presentation to CREA Committee for Federal Affairs	Presentation	Ottawa	HQ
2019-2020	August 15, 2019	RCMP FPCO	N/A	Government	Operational meeting	Meeting	Ottawa	HQ
2019-2020	August 15, 2019	CIBC	Financial Entities	RE	Discussion on organization changes	Teleconference	Ottawa	HQ
2019-2020	August 19, 2019	PSPC Controlled Goods Directorate	N/A	Government	PSPC wants to learn about FINTRAC's enforcement activities (i.e., AMPs and NCDs)	Meeting	Ottawa	HQ
2019-2020	August 25-27	Expo Prestige 2019 - Corporation des bijoutiers du Québec	DPMS	Association, RE	FINTRAC will have a booth and provide copies of the most recent Operational Brief on DPMS, as well as demonstrate on a laptop how to navigate our website and find info on their obligations.	Conference	Montreal	Montreal

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Fiscal Year	Event Date	Requesting Organization	Reporting Entity (RE) Sector	RE, Association, Regulator, Government or Public	Topic(s)	Type of event	Location of event	FINTRAC Region/HQ
2019-2020	August 28, 2019	RECBC	Real Estate	Regulator	RECBC will attend Vancouver office for Videoconference with RSU in regards to high level information on risk model and exam selection. As well will request information on how RECBCs audit process.	Teleconference	Vancouver	Vancouver; HQ
2019-2020	August 28, 2019	RECO	Real Estate	Regulator	Operational meeting	Teleconference	Toronto	Montreal
2019-2020	September 3, 2019	RECBC	Real Estate	Regulator	Content suggestions were provided to RECBC in support of their development of AML/ATF training modules	Correspondence	Vancouver	Vancouver
2019-2020	September 12, 2019	RCMP & Crown prosecutor	N/A	Government	Operational meeting	Teleconference	Edmonton	HQ
2019-2020	September 23, 2019	Canadian Food Inspection Agency	N/A	Government	To discuss AMP program transparency initiative	Teleconference	Ottawa	HQ
2019-2020	September 26, 2019	International Supervisory Forum (ISF)	N/A	Government	Meeting of the ISF involving representatives of Canada, US, UK, Australia and New Zealand	Teleconference	All five countries	HQ
2019-2020	October 8, 2019	FICOM	Financial Entities	Regulator	FICOM FINTRAC meeting New executive. Intro to FINTRAC , AML PROGRAM, IMPORTANCE OF MOU	Meeting	Vancouver	Vancouver
2019-2020	October 10, 2019	OSC	Securities	Regulator	Teleconference to answer some questions from OSC re draft MOU	Teleconference	Toronto	HQ; Toronto
2019-2020	October 10, 2019	CREA	Real Estate	Association	Meeting new staff within Government Relations as previous representative is leaving CREA	Meeting	Ottawa	HQ
2019-2020	October 17, 2019	TD Bank	Financial Entities	RE	A presentation on PPPs will be provided by the Intel Sector	Presentation	Toronto	HQ
2019-2020	October 21, 2019	Registered Deposit Brokers Association	Financial Entities	Association	Opportunity to introduce the Registered Deposit Brokers Association and to learn of FINTRAC's current focus.	Teleconference	Toronto	HQ
2019-2020	October 23, 2019	RBC	Financial Entities	RE	Meeting with the Board of Directors	Meeting	Toronto	HQ/Toronto
2019-2020	October 23, 2019	Quebec Jewellers Association	DPMS	Association	After participating in the EXPO Prestige event, the President of the Association requested an additional meeting to discuss how to help his members be compliant and what mechanisms they can put in place in order to assist their members.	Meeting	Montreal	Montreal
2019-2020	October 28, 2019	OSC	Securities	Regulator	Teleconference to answer some questions from OSC re draft MOU	Teleconference	Toronto	HQ
2019-2020	October 30, 2019	OCC & FinCEN	N/A	Regulator, Government	Meeting with FinCEN and OCC - The goal of the meeting is to build relationships with the OCC in addition to holding a bilat with FinCEN.	Meeting	Washington	HQ
2019-2020	October 31, 2019	RECBC	Real Estate	Regulator	RECBC FINTRAC MEETING Providing Compliance information as per MOU. Discuss possible use of questionnaires.	Meeting	Vancouver	Vancouver
2019-2020	November 1, 2019	Scotiabank	Financial Entities	RE	To discuss a project related to human trafficking victims	Teleconference	Ottawa	HQ



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Fiscal Year	Event Date	Requesting Organization	Reporting Entity (RE) Sector	RE, Association, Regulator, Government or Public	Topic(s)	Type of event	Location of event	FINTRAC Region/HQ
2019-2020	November 7, 2019	CUMIS	Financial Entities	RE, Association, Regulator	Ontario Risk Seminar 2019 attended by credit union executives, AML personnel, managers and risk managers. Usually well attended by many credit unions in Ontario (including some of the largest e.g. First Ontario, Libro etc.)	Conference	Toronto	Montreal
2019-2020	November 13, 2019	Receivable Management Associations (RMA)	Multiple	RE, Association	Past, present, & future outlook and how that may impact the receivable management from a regulator perspective	Conference	Toronto	HQ
2019-2020	November 13, 2019	CMSBA	MSBs	RE, Association	FINTRAC 101 (current MSB obligations) and Package 2 to VC-MSBs and all other MSBs	Conference	Montréal	HQ
2019-2020	November 14, 2019	BC Financial Services Authority	Financial Entities	Regulator	Discussion on possible Presentation to New Crown Authority (previously FICOM)	Teleconference		Vancouver
2019-2020	November 15, 2019	RCMP - Montreal	N/A	Government	Meeting with RCMP - Montreal	Meeting	Montreal	Montreal
2019-2020	November 19, 2020	RECBC	Real Estate	Regulator	Provided comments to RECBC on their AML/ATF training modules	Correspondence	Vancouver	HQ/Vancouver
2019-2020	November 21, 2019	CMSBA	MSBs	RE, Association	FINTRAC 101 (current MSB obligations) and Package 2 to VC-MSBs and all other MSBs	Conference	Toronto	HQ
2019-2020	November 25, 2019	RECO	Real Estate	Regulator	Provided comments on RECO training modules	Correspondence	Toronto	HQ
2019-2020	November 26, 2019	National Bank	Financial Entities	RE	DD Compliance Outreach	Meeting	Montreal	Montreal
2019-2020	November 26, 2019	Mouvement Desjardins	Financial Entities	RE	DD Compliance Outreach	Meeting	Montreal	Montreal
2019-2020	November 26, 2019	Autorité des marchés financiers (AMF)	Multiple	Regulator	DD Compliance Outreach	Meeting	Montreal	Montreal
2019-2020	November 26, 2019	Deposit Guarantee Corporation of Manitoba	Financial Entities	Regulator	Sharing of Compliance Findings and sharing of reporting statistics Provide any new updates	Meeting	Winnipeg	Vancouver
2019-2020	November 27, 2019	CI Regulatory Compliance Conference	Multiple	RE, association, regulator	Provide info and key messages about the new Regs and upcoming guidance	Conference	Toronto	HQ
2019-2020	November 27, 2019	Credit Union Central of Manitoba	Financial Entities	RE	Credit Union Central of Manitoba - AML Symposium	Conference	Winnipeg	Vancouver
2019-2020	November 28, 2019	Small & Medium Bank Forum	Financial Entities	RE	Introductions; update and info on transition from OSFI to FINTRAC's AML/ATF supervision	Conference	Toronto	Toronto
2019-2020	December 6, 2019	Credit Union Deposit Guarantee Corporation (CUDGC) - Newfoundland	Financial Entities	Regulator	Meeting and presentation to Credit Union Deposit Guarantee Corporation (CUDGC) - Newfoundland	Meeting	Newfoundland	Montreal
2019-2020	December 10-11, 2019	FINTRAC Casino Forum	Casinos	RE, Regulator, Government	Forum will bring together the casino sector REs and regulators, as well as B8 CAMLOs and federal government to discuss different issues and initiatives involving the casino sector	FINTRAC Forum	Ottawa	HQ/Vancouver
2019-2020	December 13, 2019	Meeting and presentation from the RCMP to Montreal team	N/A	Government	Meeting and presentation from the RCMP to Montreal team	Meeting	Montreal	Montreal

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2019-2020	December 15, 2019	Portfolio Management Association of Canada's (PMAC)	Multiple	Association	FINTRAC recently updated Methods to ID Guidelines	Teleconference	Ottawa	HQ
2019-2020	January 9, 2020	FINTRAC	MSBs	RE	Virtual Currency Discussion Group – To discuss upcoming regulations changes related to reporting	Teleconference	Ottawa	HQ
2019-2020	January 9-10, 2020	FATF	N/A	Government, Regulator	FATF VASP WG meeting and FATF Supervisory Forum	Meeting	Paris, France	HQ
2019-2020	January 14, 2020	FINTRAC	Multiple	RE	FINTRAC Reporting Working Group (FRWG) - To discuss upcoming regulations changes related to reporting	Teleconference	Ottawa	HQ
2019-2020	January 24, 2020	Registered Deposit Brokers Association (RDBA)	Financial Entities	Association	Intro to RDBA role and responsibilities	Teleconference	Ottawa	HQ
2019-2020	January 30, 2020	RCMP Ottawa	N/A	Government	Operational meeting	Teleconference	Ottawa	HQ
2019-2020	February 3, 2020	Real Estate Council of Ontario (RECO)	Real Estate	Regulator	Building relationship	Meeting	Toronto	Montreal
2019-2020	February 4, 2020	FINTRAC	Multiple	RE	FINTRAC Reporting Working Group (FRWG) - To discuss upcoming regulations changes related to reporting	Teleconference	Ottawa	HQ
2019-2020	February 4, 2020	Ontario Bar Association's Institute - real estate lawyers	N/A	Association	Money laundering, client identification, and a lawyer's obligations	Presentation	Ottawa	HQ SPAR
2019-2020	February 4, 2020	Deposit Insurance Corporation of Ontario (DICO) & Financial services Regulatory Authority (FSRA)	Financial Entities	Regulator	meeting on change of structure (Financial Services Regulatory Authority), new MOU, trends in the sector	Meeting	Toronto	Montreal
2019-2020	February 6, 2020	BCLC	Casinos	RE	offsite project meeting	Meeting	Vancouver	Vancouver
2019-2020	February 11, 2020	BCFSA	Multiple	Regulator	planning for guidance session	Meeting	Vancouver	Vancouver
2019-2020	February 11 or 12, 2020	FINTRAC Major Reporters Forum	Financial Entities	RE, Regulator	Will provide an opportunity to have a productive dialogue between FINTRAC and B8 CAMLOs.	FINTRAC Forum	Toronto	HQ/Toronto
2019-2020	February 13, 2020	BNP Paribas	Multiple	RE, Regulator, Government	AML Roundtable bringing together reps from banks, casinos, regulators and law enforcement	Meeting	Montreal	Montreal
2019-2020	February 19, 2020	Seneca College	N/A	Public	FINTRAC 101	Training	Toronto	Toronto
2019-2020	February 20 & 21 2020	Banker's Association workshop on Trade Finance	Multiple	RE, Government	Raise awareness amongst the banks on TBML and the suspected vulnerabilities in trade finance.	Conference	Toronto	HQ Intel
2019-2020	February 26 & 27, 2020	RCMP "E: Division HQ. (Police officers with various degree of experience in ML investigations	N/A	Government	FINTRAC's role, How data/information are collected, How can we use FINTRAC report? i.e. production order, sharing of reports, Enforcement action from FINTRAC, Regulations that govern MSB/Currency Exchange, Crypto currency exchange	Training	Vancouver	HQ & INTEL

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2019-2020	February 27, 2020	International Supervisory Forum (ISF)	N/A	Government	Meeting of the ISF involving representatives of Canada, US, UK, Australia and New Zealand	Teleconference	All five countries	HQ
2019-2020	March 2, 2020	British Columbia Financial Services Authority (BCFSA)	Multiple	Regulator	Statistical information around deficiencies, importance of MOU	Meeting	Vancouver	Vancouver
2019-2020	March 5, 2020	OSC	Securities	Regulator	Teleconference to discuss draft MOU with OSC	Teleconference	Toronto	HQ
2019-2020	March 5, 2020	FINTRAC	Multiple	RE, association, regulator	FINTRAC Reporting Working Group (FRWG) - To discuss upcoming regulations changes related to reporting	Teleconference	Ottawa	HQ
2019-2020	March 12, 2020	Canadian Bank Association	Financial Entities	Association	Discussed issues raised in CBA letters	Teleconference	Ottawa	HQ
2019-2020	March 24, 2020	RCMP	N/A	Government	Operational meeting	Teleconference	Ottawa	HQ
2019-2020	March 27, 2020	GPEB	Casinos	Regulator	GPEB consultation on proposed BC legislative changes	Teleconference	Victoria	HQ

Total of events for 2019-20: 123 (including 19 involving the real estate sector) plus 43 outreach activities with the five largest financial institutions in Canada.

2020-2021	April 2, 2020	FATF	N/A	Government, Regulator	FATF VASP WG Meeting	Teleconference	Ottawa	HQ
2020-2021	April 16, 2020	CBA	Financial Entities	Association, RE	Discussion with CBA	Teleconference		HQ
2020-2021	April 24, 2020	Osgoode AML Conference for Financial Institutions	Multiple	RE	FINTRAC: An Essential Overview and Statistical Analysis	Conference	Toronto	HQ
2020-2021	April 30, 2020	FINTRAC	Multiple	RE, regulator, association	Guidance and Policy Interpretation Working Group (GPIWG) - Update on FINTRAC's COVID-19 guidance	Teleconference	Ottawa	HQ
2020-2021	May 7, 2020	Canadian Financial Services Summit (ONESPAN)	Financial Entities	RE	FINTRAC will provide a regulatory update and focus on presenting on the "Methods to verify the identity of an individual and confirm the existence of a corporation or an entity other than a corporation" guidance.	Conference	Montreal	HQ
2020-2021	May 6, 2020	Public Safety Canada	N/A	Government	Teleconference with ACE Team	Teleconference	Ottawa	HQ
2020-2021	May 7, 2020	FINTRAC	Multiple	RE	FINTRAC Reporting Working Group (FRWG)- To discuss upcoming regulations changes related to reporting	Teleconference	Ottawa	HQ
2020-2021	May 8, 2020	RCMP & Crown Prosecutors	N/A	Government	Operational meeting	Teleconference	Ottawa	HQ
2020-2021	May 19, 2020	FINTRAC	Multiple	RE	FINTRAC Reporting Working Group (FRWG) - To discuss upcoming regulations changes related to reporting	Teleconference	Ottawa	HQ
2020-2021	May 19, 2020	International Supervisory Forum (ISF)	N/A	Government	Meeting of the ISF involving representatives of Canada, US, UK, Australia and New Zealand	Teleconference	Ottawa	HQ

**List of FINTRAC Engagement Activities with Different Stakeholders  
April 1, 2017 to December 4, 2020**

*(Note: Events highlighted in yellow involved the real estate sector)*

Fiscal Year	Event Date	Requesting Organization	Reporting Entity (RE) Sector	RE, Association, Regulator, Government or Public	Topic(s)	Type of event	Location of event	FINTRAC Region/HQ
2020-2021	May 28, 2020	Canadian MSB Association	MSBs	Association	Canadian MSB Association training event on Package #2 regulatory amendments - virtual presentation	Training	Montreal	HQ/Montreal
2020-2021	May 28, 2020	FINTRAC	Multiple	RE, regulator, Association	Guidance and Policy Interpretation Working Group (GPIWG) - Update on additional regulatory amendments / Overview of the revisions to the "Risk Based Assessment" guidance / Overview of policy interpretations that will be published	Teleconference	Ottawa	HQ
2020-2021	June 2, 2020	ACAMS (Northerly Directions)	Multiple	RE, Regulator, Association	Presentation focused on FINTRAC's approach during the COVID-19 situation including the temp flexibility we provided, impact on implementation of reg amendments, etc.	Conference	Ottawa	HQ
2020-2021	June 18, 2020	CBA	Financial Entities	Association, RE	Discussion with CBA	Teleconference		HQ
2020-2021	June 23, 2020	FINTRAC	Multiple	RE	FRWG - To discuss upcoming regulations changes related to reporting	Teleconference	Ottawa	HQ
2020-2021	June 29, 2020	CBA Risk Committee	Financial Entities	RE, Association	Director was invited to speak to members of the CBA Risk Committee about COVID-19 relief, implementation plan of reg amendments for June 2021 and challenges faced by FINTRAC and reporting entities, info sharing and B.C. Cullen Commission	Meeting	Ottawa	HQ
2020-2021	July 14 & 15, 2020	Canadian Institute	Multiple	RE, Regulator, association	- Enforcement action from FINTRAC	Conference	Toronto	HQ
2020-2021	July 17, 2020	RECBC	Real Estate	Regulator	Sharing information on exam resumption/collaborative outreach initiatives/ providing of list of brokerages to update our database	Teleconference	Vancouver	Vancouver
2020-2021	July 21, 2020	FINTRAC	Multiple	RE	FRWG - To discuss upcoming regulations changes related to reporting	Teleconference	Ottawa	HQ
2020-2021	July 28, 2020	BCFSA	Financial Entities	Regulator	Update on organization/ discussion of furthering collaboration	Teleconference	Vancouver	Vancouver
2020-2021	July 28, 2020	FINTRAC	Multiple	RE	FRWG - To discuss upcoming regulations changes related to reporting	Teleconference	Ottawa	HQ
2020-2021	July 29, 2020	RECBC	Real Estate	Regulator	Communication of FINTRAC's resumption of compliance examinations to registrants	Correspondence	Vancouver	Vancouver
2020-2021	July 30, 2020	RECBC	Real Estate	Regulator	Signed up for epost/ NOV issued/ outreach strategies/ collaboration	Teleconference	Vancouver	Vancouver
2020-2021	July 30, 2020	FINTRAC	Multiple	RE, regulator, Association	(GPIWG) Update on the implementation of regulatory amendments and associated guidance / Overview and next steps for the feedback FINTRAC received on draft guidance / Overview of the next guidance pieces for review	Teleconference	Ottawa	HQ
2020-2021	August 5, 2020	CREA	Real Estate	Association	Communication of FINTRAC's resumption of compliance examinations to members	Correspondence	HQ	HQ
2020-2021	August 12, 2020	TREB	Real Estate	Regulator	Communication of FINTRAC's resumption of compliance examinations to members	Correspondence	Montreal	Montreal

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Fiscal Year	Event Date	Requesting Organization	Reporting Entity (RE) Sector	RE, Association, Regulator, Government or Public	Topic(s)	Type of event	Location of event	FINTRAC Region/HQ
2020-2021	August 12, 2020	RECO	Real Estate	Regulator	Communication of FINTRAC's resumption of compliance examinations to regulated entities	Correspondence	HQ	HQ
2020-2021	August 12, 2020	OACIQ	Real Estate	Regulator	Communication of FINTRAC's resumption of compliance examinations to regulated entities	Correspondence	Montreal	Montreal
2020-2021	August 17, 2020	BC Financial Services Authority	Financial Entities	Regulator	New contact at BCFS- discussed future collaborative issues and information sharing	Teleconference	Vancouver	Vancouver
2020-2021	August 24, 2020	RECBC	Real Estate	Regulator	Discussion on collaborative initiatives to enhance compliance within BC Real Estate Sector.	Teleconference	Vancouver	Vancouver
2020-2021	August 26, 2020	BC Real Estate Association	Real Estate	Association	Discussion on BCREA development of AML online platform for BC Real Estate Compliance Officers. Need assistance from FINTRAC / deliverable end September-October	Teleconference	Vancouver	Vancouver
2020-2021	September 3, 2020	FINTRAC	Multiple	RE, regulator, Association	(GPIWG) Update on the implementation of regulatory amendments and associated guidance / Overview of the next pieces of guidance to review (feedback due September 15)/ Upcoming PIs that will be published	Teleconference	Ottawa	HQ
	September 9, 2020	International Supervisory Forum (ISF)	N/A	Government	Meeting of the ISF involving representatives of Canada, US, UK, Australia and New Zealand	Teleconference	All five countries	HQ
2020-2021	September 10, 2020	CBA	Financial Entities	Association	Discussion with CBA	Teleconference		HQ
2020-2021	September 15, 2020	CBA	Financial Entities	Association	Discussion with CBA	Teleconference		HQ
2020-2021	September 21, 2020	BCLC	Casinos	RE	To meet new CAMLO, discuss compliance trends and future outlook	Meeting	Vancouver	Vancouver
2020-2021	September 21 - 23, 2020	ABC Solutions	Multiple	RE, Association, regulator	DD Compliance providing a keynote presentation on new normal and how to be ready for the future; RMU provided an update on the implementation of regulatory amendments	Conference	Ottawa	HQ
2020-2021	September 25 - 28, 2020	Wolfsberg Secretariat	Financial Entities	RE, Regulator, Government	Participation in Annual Wolfsberg Forum (virtual) focused on prudential and AML/ATF supervision of Fis	Conference	Switzerland	HQ
2020-2021	October 19, 2020	FINTRAC	Multiple	RE	FRWG - To discuss upcoming regulations changes related to reporting	Teleconference	Ottawa	HQ
2020-2021	October 20 - 22, 2020	ACAMS Canada	Multiple	RE, Regulator, Government, Association	ACAMS 8th Annual Canada AML & Financial Crime Conference in Toronto, Canada	Conference	Toronto	HQ
2019-2020	October 21, 2020	FINTRAC	Real Estate	RE	53 Welcome Letters were sent to new brokerages	Correspondence	Multiple BC addresses	Vancouver
2020-2021	October 27, 2020	FINTRAC	Multiple	RE	FRWG - To discuss upcoming regulations changes related to reporting	Teleconference	Ottawa	HQ
2020-2021	October 28, 2020	BC Notaries Association	BC Notaries	RE	what the guidelines are and what is included in a audit (lunch and learn)	Training	Vancouver	Vancouver

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April 1, 2017 to December 4, 2020**

*(Note: Events highlighted in yellow involved the real estate sector)*

Fiscal Year	Event Date	Requesting Organization	Reporting Entity (RE) Sector	RE, Association, Regulator, Government or Public	Topic(s)	Type of event	Location of event	FINTRAC Region/HQ
2020-2021	October 29, 2020	FINTRAC	Multiple	RE, regulator, Association	(GIPWG) Update on the implementation of regulatory amendments and associated guidance / Administrative flexibility for June 2021 / Draft version of the reports roll-out plan	Teleconference	Ottawa	HQ
2020-2021	October 29, 2020	CBA	Financial Entities	Association	Discussion with CBA	Teleconference		HQ
2019-2020	November 4, 2020	FINTRAC	Real Estate	RE	50 Welcome Letters were sent to new brokerages	Correspondence	Multiple BC addresses	Vancouver
2020-2021	November 12, 2020	FINTRAC	MSBs	RE	Provided Virtual currency MSBs with a demo of FINTRAC reporting proposal for the new report type called the Large Virtual Currency Transaction Report (LVCTR)	Teleconference	Ottawa	HQ
2020-2021	November 16, 2020	FINTRAC	MSBs	RE	Provided Virtual currency MSBs with a demo of FINTRAC reporting proposal for the new report type called the Large Virtual Currency Transaction Report (LVCTR)	Teleconference	Ottawa	HQ
2020-2021	November 16, 2020	Association of Certified Financial Crime	Financial Entities	RE, Association,	Presentation on PPPs, with a focus on Project Shadow	Conference	United States	HQ
2020-2021	Nov-17-18, 2020	Canadian Institute	Multiple	RE, Association, Regulator	Adjusting Operations to Meet Expectations and Avoid Compliance Missteps During and After COVID <ul style="list-style-type: none"> <li>Gain guidance on regulatory flexibility due to COVID and the implications for future assessments and adjudication of breaches</li> <li>Leveraging insights on new operational risks for remote teams</li> <li>Aligning operations with regulator plans for the resumption of obligations to avoid missteps</li> </ul>	Conference	HQ	HQ
2019-2020	November 18, 2020	FINTRAC	Real Estate	RE	69 Welcome Letters were sent to new brokerages	Correspondence	Multiple BC addresses	Vancouver
2020-2021	December 1-2, 2020	CMSBA	MSBs	RE, Association	Will provide presentation on the Admin Flex provided by FINTRAC between June 1, 2021 and when existing report forms will be updated; talk about the revised roll-out plan for the changes to reporting forms and provide an update on guidance.	Training		
2020-2021	December 1, 2020 (morning)	BC Real Estate Association (BCREA)	Real Estate	RE	answer questions that the participants may have on compliance examinations, the audit to assessment approach, most common non-compliance issues specific to the real estate sector and a few other subjects.	Training	Vancouver	Vancouver

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*(Note: Events highlighted in yellow involved the real estate sector)*

Fiscal Year	Event Date	Requesting Organization	Reporting Entity (RE) Sector	RE, Association, Regulator, Government or Public	Topic(s)	Type of event	Location of event	FINTRAC Region/HQ
2020-2021	December 1, 2020 (afternoon)	BC Real Estate Association (BCREA)	Real Estate	RE	answer questions that the participants may have on compliance examinations, the audit to assessment approach, most common non-compliance issues specific to the real estate sector and a few other subjects.	Training	Vancouver	Vancouver
2020-2021	December 3, 2020 (morning)	BC Real Estate Association (BCREA)	Real Estate	RE	answer questions that the participants may have on compliance examinations, the audit to assessment approach, most common non-compliance issues specific to the real estate sector and a few other subjects.	Training	Vancouver	Vancouver
2020-2021	December 3, 2020 (afternoon)	BC Real Estate Association (BCREA)	Real Estate	RE	answer questions that the participants may have on compliance examinations, the audit to assessment approach, most common non-compliance issues specific to the real estate sector and a few other subjects.	Training	Vancouver	Vancouver

Total of events to date in 2020-21: 57 (including 21 involving the real estate sector)